

PTOL-413A (08-03)  
 Approved for use through 07/31/2006. OMB 0651-0031  
 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

### Applicant Initiated Interview Request Form

Application No.: 09/477,057 First Named Applicant: Robert Klaus  
 Examiner: Robert Morgan Art Unit: 3626 Status of Application: Pending

**Tentative Participants:**

(1) Robert Morgan USPTO (2) Joseph Thomas (SPE USPTO)  
 (3) Dan Fitzgerald (Applicant) (4) \_\_\_\_\_

Proposed Date of Interview: 7-27-04 Proposed Time: 2:00 (AM/PM) EST

**Type of Interview Requested:**

(1) ☒ Telephonic (2) ☐ Personal (3) ☐ Video Conference

Exhibit To Be Shown or Demonstrated: ☒ YES ☐ NO

If yes, provide brief description: Applicant's Proposed Claim Changes to  
Claim 1. (See Attached)

### Issues To Be Discussed

Issues (Rej., Obj., etc)	Claims/ Fig. #s	Prior Art	Discussed	Agreed	Not Agreed
(1) <u>103 Rej.</u>	<u>1-29</u>	<u>Walker</u> <u>(U.S. Pat. 6,119,093)</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(3) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(4) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Continuation Sheet Attached

**Brief Description of Arguments to be Presented:**

Walker does not teach or describe present claims.

An interview was conducted on the above-identified application on \_\_\_\_\_.

**NOTE:**

This form should be completed by applicant and submitted to the examiner in advance of the interview (see MPEP § 713.01).

This application will not be delayed from issue because of applicant's failure to submit a written record of this interview. Therefore, applicant is advised to file a statement of the substance of this interview (37 CFR 1.133(b)) as soon as possible.

Paul M. Fitzgerald

(Applicant/Applicant's Representative Signature)

(Examiner/SPE Signature)

This collection of information is required by 37 CFR 1.133. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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**CERTIFICATE OF FACSIMILE TRANSMISSION TO THE  
UNITED STATES PATENT AND TRADEMARK OFFICE**

Date: July 26, 2004

**Examiner: Robert W. Morgan** : **RE: U.S. Patent Application**  
**Art Unit: 3626** : **Serial No.: 09/477,057**  
**Fax: (703)746-5583** : **Applicant: Robert Klaus**  
**From: Daniel M. Fitzgerald** : **Atty. Dkt. No.: 85ER-00109**

**DOCUMENTS SUBMITTED WITH TRANSMISSION:**

**Cover Sheet (1 pg.)**  
**Applicant Interview Request Form (1 pg.)**  
**Proposed Claim Amendment (2 pgs.)**

**Total pages including cover page: 4 pgs.**  
**If all pages are not received, please contact: Gail Maloney at ext. 7458**

**RE: The above referenced U.S. Patent Application**

**For: INTERACTIVE SYSTEM AND METHOD FOR SELLING INSURANCE**

**FILED: January 4, 2000**

**CERTIFICATE OF FACSIMILE TRANSMISSION**

I hereby certify that the above-referenced Documents are being facsimile transmitted to the U.S. Patent and Trademark Office, Facsimile Number (703)746-5583 on the date shown below.

Date: July 26, 2004

  
Daniel M. Fitzgerald, Reg. No. 38,880

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